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INDICATION FORM**

Application Number	10/010,402
Filing Date	12/07/2001
First Named Inventor	Michael E. Lewis
Title	Process & Apparatus for ...
Art Unit	1746
Examiner Name	Bruce P. Bell
Attorney Docket Number	SWAN-03CIP-2

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PATENT TRADEMARK OFFICE

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☐ Practitioner(s) named below:

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Name	Registration Number

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Randy Peek, President, Canadian Auto Preservation, Inc.		
Signature			
Date	March 5, 2004	Telephone	(800) 387-3760

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/122 (09-03)

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Application Number	10/010,402
Filing Date	12/07/2001
First Named Inventor	Michael E. Lewis
Art Unit	1746
Examiner Name	Bruce F. Bell
Attorney Docket Number	SWAN-03CIP-2

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I am the:



Applicant/Inventor



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or Agent of record. Registration Number 30,211

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed  
Name

Seth M. Reiss

Signature

Date March 11, 2004

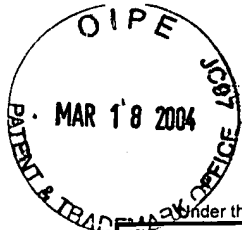
Telephone (808) 523-8894

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Canadian Auto Preservation, Inc.Application No./Patent No.: 10/010,402 Filed/Issue Date: 12/07/2001Entitled: Process and Apparatus for Preventing Oxidation of MetalCanadian Auto Preservation, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Michael E. Lewis & Mark Swannie To: Red Swan, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012649, Frame 0487, or for which a copy thereof is attached.
2. From: Red Swan, Inc. To: Canadian Auto Preservation, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 15, 2004

Date

808-523-8894

Telephone number

Seth M. Reiss

Typed or printed name

Signature

Attorney for applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Previously submitted



RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): Red Swan, Incorporated	2. Name and address of receiving party(ies) Name: Canadian Auto Preservation, Inc.  Internal Address: Attn: Randy Peek    Street Address: 390 Bradwick Dr. Concord, Ontario L4K 2W4 CANADA  City: _____ State: _____ Zip: _____	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		
Execution Date: 02/20/04	Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 60/044,898 10/010,402 B. Patent No.(s) 6,046,515 6,331,243 Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Godbey Griffiths Reiss Internal Address: Attn: Seth M. Reiss   Street Address: 1001 Bishop Street 2300 Pauahi Tower City: Honolulu State: HI Zip: 96813	6. Total number of applications and patents involved: <input type="checkbox"/> 7. Total fee (37 CFR 3.41).....\$ 160.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: _____	
DO NOT USE THIS SPACE		
9. Signature.  Seth M. Reiss Name of Person Signing   Signature March 8, 2004 Date Total number of pages including cover sheet, attachments, and documents: 3		

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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Previously Submitted

## PATENT ASSIGNMENT

ASSIGNMENT made and effective this 20<sup>th</sup> day of February, 2004, by RED SWAN, INCORPORATED, a company organized and existing under the laws of the State of Hawaii, United States of America, and having its principal office in Aiea, Hawaii, U.S.A. ("ASSIGNOR"), for the benefit of CANADIAN AUTO PRESERVATION, INC., a corporation organized and existing under the laws of the Province of Ontario, Canada, and having principal office in Ontario, Canada ("ASSIGNEE").

WHEREAS, ASSIGNOR wishes to assign to ASSIGNEE all right, title and interest in and to the inventions, patents and patent applications specified below; and

WHEREAS, ASSIGNEE wishes to accept such assignment;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, ASSIGNOR hereby assigns to ASSIGNEE, all rights, title and interest in and to the patents and patent applications specified below, including any reissues, continuations, continuations-in-part, continuation prosecution applications, revisions, extensions and re-examinations thereof, together with the exclusive right to enforce such patents, and to sue for and collect damages or other compensation in respect of past infringement, in the United States and Canada, and throughout the world, in the sole name of ASSIGNEE, its successors and assigns:

U.S. Provisional Patent Application Serial No. 60/044,898 filed April 25, 1997 by inventor Michael Lewis, and assigned to Red Swan, for a Process and Apparatus for Preventing Oxidation of Metal.

U.S. Patent No. 6,046,515 issued April 4, 2000 to inventor Michael Lewis, and assigned to Red Swan through assignment of provisional application Serial No. 60/044,898 filed April 25, 1997 being the provisional application upon which U.S. Patent No. 6,046,515 is based, entitled "Process and Apparatus for Preventing Oxidation of Metal";

U.S. Patent No. 6,331,243 issued April 18, 2001 to inventor Michael Lewis and assignee Red Swan, Inc. entitled "Process and Apparatus for Preventing Oxidation of Metal";

U.S. Patent Application No. 10/010,402 filed December 7, 2001 by inventors Michael Lewis and Mark Swannie and published July 11, 2002 as Publication No. US 2002/0088720, assigned to Red Swan,

Inc. entitled "Process and Apparatus for Preventing Oxidation of Metal"; and

Canadian Patent Application No. 2,364,750 filed December 10, 2001 by inventors Michael E. Lewis and Mark Swannie and assigned to Red Swan, Inc. entitled "Improved Process and Apparatus for Preventing Oxidation of Metal".

IN WITNESS WHEREOF, ASSIGNOR has caused its duly authorized representative to execute this Assignment effective the date first above written.

RED SWAN, INCORPORATED:

By: Mark Swannie  
MARK SWANNIE  
Its President

**STATEMENT BY WITNESS**

I, Dawn Robinson whose full post office address is  
(name of witness)  
PO Box 50, Honolulu, HI 96810

was personally present and did see the within assignment executed at Honolulu, HI by Mark Swannie on behalf of Red Swan Incorporated and such person is personally known to me to be the person described in such document.

Dawn Robinson  
Signature of Witness